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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

10412-022

Total Pages

84

First Named Inventor or Application Identifier

Robert E. KLEM

Express Mail Label No.

EL 477 034 015 US

84

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form
Submit an original, and a duplicate for fee processing
- ☒ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
- ☒ Specification [Total Pages 68]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description of the Invention *(including drawings, if filed)*
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) *(35 USC 113)* [Total Sheets]
- ☒ Oath or Declaration [Total Sheets 2]
 - ☒ Unexecuted (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 19 completed)
 - ☐ DELETION OF INVENTORS(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☒ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - ☒ Computer Readable Copy
 - ☒ Paper Copy (identical to computer copy)
 - ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document *(if applicable)*
- ☐ Information Disclosure Statement ☐ Copies of IDS Citations
(IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i).
Applicant must attach form PTO/SB/35 or it's equivalent
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed .

19. CORRESPONDENCE ADDRESS

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PENNIE & EDMONDS LLP
 COUNSELLORS AT LAW
 1155 Avenue of Americas
 New York, N.Y. 10036-2711

ATTORNEY DOCKET NO. 10412-022Date: January 22, 2002

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Robert E. KLEM

Executed on: Unexecuted

Title of Invention: METHODS AND COMPOSITIONS FOR TREATING A CELL-PROLIFERATIVE DISORDER
 USING CRE DECOY OLIGOMERS, BCL-2 ANTISENSE OLIGOMERS, AND HYBRID
 OLIGOMERS THEREOF

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	71	-20	51	\$18.00 each	\$ 918.00
Independent	6	-3	3	\$84.00 each	\$ 252.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 1,910.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 955.00
Total Filing Fee					\$ 955.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 60/283,244 filed on January 22, 2001 in U.S. is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted, by *Jacqueline Benn**Laura A. Coruzzi*

Laura A. Coruzzi
 PENNIE & EDMONDS LLP

Reg No 43,492

30,742

(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert E. Klem

Application No.: To be Assigned

Art Unit: Not Yet Assigned

Filed: On Even Date Herewith

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS FOR
TREATING A CELL-PROLIFERATIVE
DISORDER USING CRE DECOY
OLIGOMERS, BCL-2 ANTISENSE
OLIGOMERS, AND HYBRID OLIGOMERS
THEREOF

Atty Docket No.: 10412-022

TRANSMITTAL OF SEQUENCE LISTING

Assistant Commissioner for Patents
Washington, DC 20231

S I R :

In connection with the above-identified application, and in accordance with 37 C.F.R. § 1.821, Applicant submits herewith a Sequence Listing in paper and computer-readable format pursuant to 37 C.F.R. § 1.821(c) and (e).

I hereby state that the content of the paper and computer-readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same. I hereby state that the submission herein under 37 C.F.R. §1.821(g) does not include new matter.

Respectfully submitted,

Date January 22, 2002

by: *Joergeline Bern*
Laura A. Coruzzi Reg No. 43,492
30,742
Laura A. Coruzzi (Reg. No.)

PENNIE & EDMONDS LLP
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Enclosures